

01-11-01

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:

FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE

NEW YORK, NEW YORK 10151

TEL: (212) 588-0800

FAX: (212) 588-0500

Date: January 10, 2001Attorney Docket No.: 450100-02931

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office  
of an application for patent in the name(s) of:

Kenichi SUZUKI

entitled:

OPTICAL DISC APPARATUS

The following are enclosed:

- ☒ Specification ( 31 pages)  
☒ 8 Sheet(s) of Drawings  
☒ 19 Claim(s) (including 5 independent claim(s))  
☐ This application contains a multiple dependent claim

- ☒ Our check for \$ 870.00, calculated on the basis of the claims as  
amended by any enclosed preliminary amendment as follows:

|  |           |
|--|-----------|
| Basic Fee, \$710.00 (\$355.00)   | \$ 710.00 |
| Number of Claims in excess of 20 at \$18.00 (\$9.00) each:               | -0-       |
| Number of Independent Claims in excess of 3 at \$80.00 (\$40.00) each: 2 | 160.00    |
| Multiple Dependent Claim Fee at \$270.00 (\$135.00)                      | -0-       |
| Total Filing Fee   | \$ 870.00 |
| Assignment Recording Fee \$40.00   | -0-       |

- ☒ Oath or Declaration and Power of Attorney

☒ New ☐ signed ☒ unsigned  
☐ Copy from a prior application (37 C.F.R. 1.63(d))

- ☒ Certified copy of each of the following application(s) to substantiate  
the claim(s) for priority made in the Declaration:

| <u>Application No.</u> | <u>Filed</u>    | <u>In</u> |
|------------------------|-----------------|-----------|
| 2000-006339            | 12 January 2000 | Japan     |
| 2000-014127            | 19 January 2000 | Japan     |

Please charge any additional fees required for the filing of this  
application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

William S. Frommer  
 Reg. No. 25,506

"Express Mail" mailing label number EL742665354US

Date of Deposit January 10, 2001

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Edward Nay*

(Typed or printed name of person mailing paper or fee)

*[Signature]*

(Signature of person mailing paper or fee)

FOOTNOTES

FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE NEW YORK, NEW YORK 10151



WILLIAM S. FROMMER  
WILLIAM F. LAWRENCE  
EDGAR H. HAUG  
MATTHEW K. RYAN  
BARRY S. WHITE  
THOMAS J. KOWALSKI  
JOHN R. LANE  
DENNIS M. SMID\*  
DANIEL G. BROWN  
BARBARA Z. MORRISSEY  
STEVEN M. AMUNDSON  
MARILYN MATTHES BROGAN  
JAMES K. STRONSKI  
CHARLES J. RAUBICHECK  
GRACE L. PAN\*  
GORDON KESSLER  
MARK W. RUSSELL\*  
JEFFREY A. HOVDEN

January 10, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application  
Applicant: Kenichi SUZUKI  
Our Ref.: 450100-02931

Dear Sir:

Enclosed are papers constituting the above patent application which is being filed under 37 C.F.R. 1.53 without a signed Declaration. Please accord a filing date and a serial number to such application and inform the undersigned thereof so that a signed Declaration and the surcharge required by 37 C.F.R. 1.16(e) may be duly filed.

Please address all correspondence to:

William S. Frommer, Esq.  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151

Respectfully,

A handwritten signature in black ink, appearing to read "William S. Frommer".

William S. Frommer  
Reg. No. 25,506  
Attorney for Applicant  
Enclosures

A. THOMAS S. SAFFORD  
JEROME ROSENSTOCK  
RAYMOND R. WITTEKIND, PH.D.  
SUSAN K. LEHNHARDT, PH.D.  
RICHARD E. PARKE  
Of Counsel

BRUNO POLITO  
JOE H. SHALLENBURGER  
CHRISTIAN M. SMOLIZZA  
GLENN F. SAVIT  
ROBERT E. COLLETTI  
DEXTER T. CHANG  
PETER J. WAIBEL  
LINDSEY A. MÖHLE  
DEENA P. LEVY  
DARREN M. SIMON  
YUFENG LIU, PH.D.  
JOHN G. TAYLOR

\*Admitted to a Bar  
other than New York